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16711 U.S. PTO
112103
**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	A328A-USA
First Inventor	Schulman
Title	Battery-Powered Patient Implantable Device
Express Mail Label	EV349874020US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 63] (preferred arrangement set forth below) <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure </p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 24]</p> <p>5. Oath or Declaration [Total Pages 3] <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). </p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies </p>
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ACCOMPANYING APPLICATION PARTS

<p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other:</p>
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18. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP) of prior application No.: 10/391,424
Prior application information: Examiner _____ Group / Art Unit: 3736

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/>	Customer Number:	24677	or	<input type="checkbox"/> Correspondence address below	
Name	Lee J. Mandell Alfred E. Mann Foundation for Scientific Research				
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Country		Telephone		Fax	

Name (Print/Type) Lee J. Mandell

Registration No. (Attorney/Agent)

37,176

Signature Lee J. MandellDate 11/21/03

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112103

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U.S. PTO

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)
\$1,644.00

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Schulman
Examiner Name	
Art Unit	
Attorney Docket No.	A328A-USA

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																																																																																																																																														
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number 50-0692 Deposit Account Name Alfred E. Mann Foundation				3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th colspan="2">Fee Description</th> <th>Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee Code</th> <th>(\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>2051</td><td>130</td><td>65 Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>2052</td><td>50</td><td>25 Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053</td><td>1053</td><td>130</td><td>Non - English specification</td><td></td></tr> <tr><td>1812</td><td>1812</td><td>2,520</td><td>For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>1804</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1805</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>2251</td><td>110</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>1252</td><td>2252</td><td>420</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>1253</td><td>2253</td><td>950</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1254</td><td>2254</td><td>1,480</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1255</td><td>2255</td><td>2,010</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>1401</td><td>2401</td><td>330</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>2402</td><td>330</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>2403</td><td>290</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1451</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>2452</td><td>110</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>1453</td><td>2453</td><td>1,330</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>1501</td><td>2501</td><td>1,330</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>2502</td><td>480</td><td>Design issue fee</td><td></td></tr> <tr><td>1503</td><td>2503</td><td>640</td><td>Plant issue fee</td><td></td></tr> <tr><td>1460</td><td>1460</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>1807</td><td>1807</td><td>50</td><td>Processing fee under 37 CFR § 1.17(q)</td><td></td></tr> <tr><td>1806</td><td>1806</td><td>180</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>8021</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>40.00</td></tr> <tr><td>1809</td><td>2809</td><td>770</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>1810</td><td>2810</td><td>770</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>1801</td><td>2801</td><td>770</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>1802</td><td>1802</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="4">Other fee (specify) _____</td><td></td></tr> <tr> <td colspan="4">SUBTOTAL (1) (\$) \$770.00</td> <td colspan="4">SUBTOTAL (3) (\$) \$40.00</td> </tr> <tr> <td colspan="4">**or number previously paid, if greater; 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SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Lee J. Mandell	Registration No. (Attorney/Agent)	37,176	Telephone	661-775-3995
Signature	<i>Lee J. Mandell</i>				Date 11/21/03

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**CERTIFICATE OF MAILING BY “EXPRESS MAIL”
(37 CFR 1.10)**

Applicant(s):

<i>Serial No.</i>	<i>Filing Date</i>	<i>Examiner</i>	<i>Group Art Unit</i>
New Application			

Invention:

BATTERY-POWERED PATIENT IMPLANTABLE DEVICE

I hereby certify that the following correspondence:

Patent application

(Identify type of correspondence)

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11/10/03

Marie W. Lau

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